

03-26-04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Wu, et al.  
Serial No.: 10/692,165 Group Art Unit: 3753  
Filed: 10/23/2003 Examiner: Unassigned  
For: LATERAL PLATE SURFACE COOLED HEAT EXCHANGER  
Atty Dkt. No.: 60,680-741

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT - 37 C.F.R. §1.97**

Dear Sir/Madam:

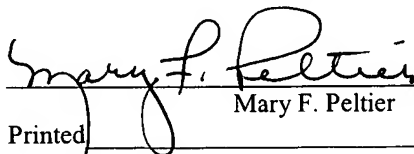
Applicant submits this Supplemental Information Disclosure Statement in accordance with the duty of disclosure under 37 C.F.R. §1.56 and §1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b)(3). Enclosed herewith is a List of References Cited by Applicant (PTO-1449) (one sheet), as well as a copy of each reference listed thereon.

Inasmuch as each of the references listed on attached Form PTO-1449 is in English, no further commentary by Applicant is believed necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully solicits the Examiner's consideration of the cited references and entry thereof into the record of this application.

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**Certificate of Express Mailing**

I hereby certify that this SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT - 37 C.F.R. §1.97 is being deposited with the United States Postal Service as Express Mail No. EU936173223US, postage prepaid, in an envelope addressed to, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 25th day of March, 2004.

  
\_\_\_\_\_  
Mary F. Peltier  
Printed \_\_\_\_\_

Applicant, by submitting this Information Disclosure Statement, is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Information Disclosure Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, is otherwise "material to patentability," as that term is defined in that section.

Respectfully submitted,

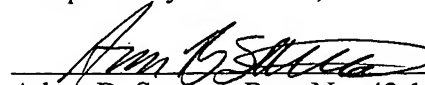
  
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Attorney for Applicant  
Customer No. 26127

Dated: 03/25/04

#### **PETITION AND FEE**

Applicant believes that this Supplemental Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action in the above-identified matter, in accordance with 37 C.F.R. § 1.97(b)(3). However, in the event that the first Office Action has already been mailed and Applicant has not yet received the Office Action, Applicant hereby petitions for consideration of this Supplemental Information Disclosure Statement and authorizes the Assistant Commissioner to deduct the fee of \$180.00 required under 37 C.F.R. § 1.97(c)(2) and 37 C.F.R. § 1.17(p) from Deposit Account No. 04-2223. The Assistant Commissioner is further to authorized deduct any additional fees required in connection with this Supplemental Information Disclosure Statement from Deposit Account No. 04-2223 and to credit any overpayment to Deposit Account No. 04-2223.

Respectfully submitted,

  
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Customer No. 26127

Dated: 03/25/04

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Wu et al.

Docket No.

60680-741

Serial No.

10/692,165

Filing Date

October 23, 2003

Examiner

Unassigned

Group Art Unit

3753

Invention: PLATINUM LATERAL PLATE SURFACE COOLED HEAT EXCHANGER



I hereby certify that the following correspondence:

Supplemental Information Disclosure Statement, PTO 1449, 1 reference, post card

*(Identify type of correspondence)*

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